

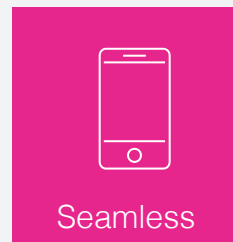
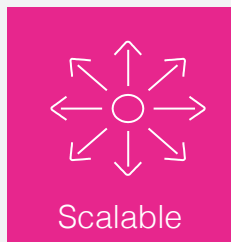
# Mobile Phone Recording

with NICE Trading Recording

# Mobile Phones Must be Recorded if Used for Financial Transactions

Financial firms around the world are expanding their communication recording estate to facilitate the recording of regulated employees' mobile phones in preparation for new regulations (like MiFID II which goes into effect on January 3, 2018). New regulations recording requirements encompass all telephone conversations (including mobile phones) and electronic communications that are intended to result in a transaction or the provision of client order services – even if they in fact do not.

As the need to monitor more employees and communication channels grows with new regulations, the NICE Trading Recorder (NTR) compliance-focused platform addresses these challenges by capturing, storing and retaining all types of communications related to trading.



## One Solution

Record multiple channels with one solution – whether internal or external, inbound or outbound, pre-, during- or post-trade, front-office or back office, fixed or mobile – NTR captures and manages the full spectrum of communications, which means lower cost of ownership and streamlined investigations.

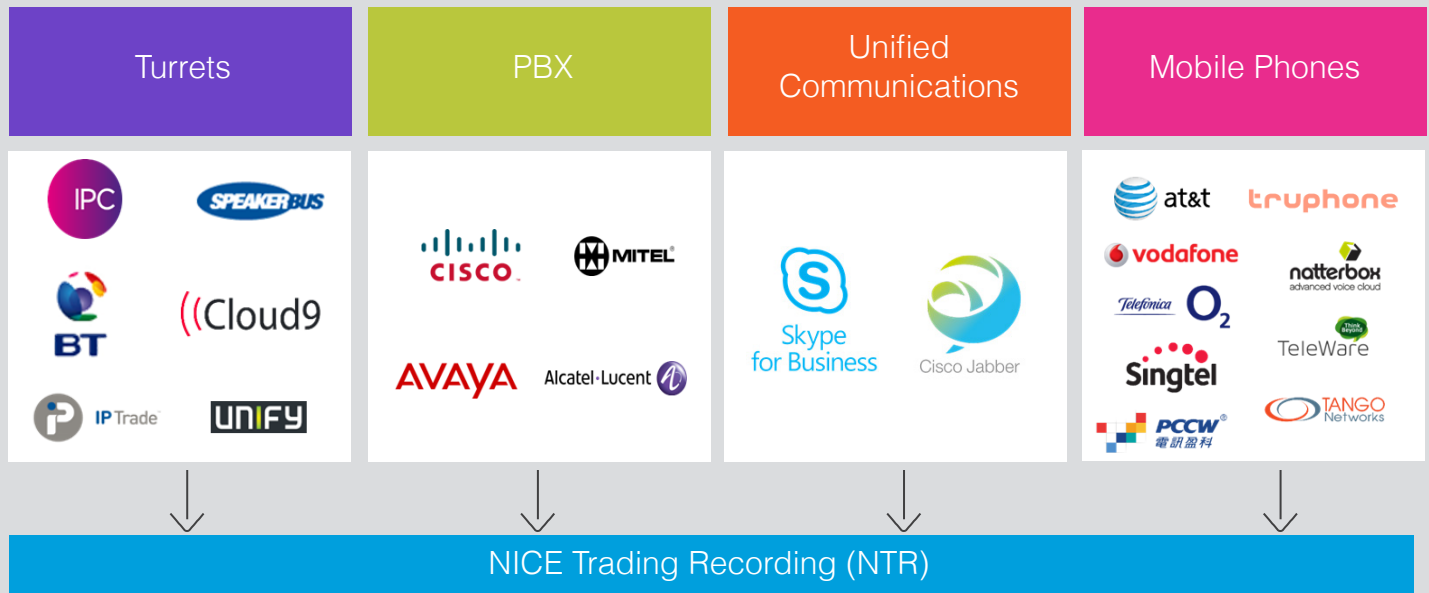
## Scalable

A scalable, distributed architecture means NTR can easily scale to meet the needs of small branches up to global trading firms, while also adapting to support any type of communication.

## Seamless

Seamless mobile call recording means foolproof compliance; there are no buttons to push, and no recording delays. The agile NTR solution integrates with virtually every compliance mobile provider (AT&T, Vodafone, O2, SingTel, PCCW, and more), as well as leading mobile carriers around the world (Truphone, Natterbox, Teleware and others), giving financial institutions with more options to capture traders' mobile calls and achieve complete compliance.

# One Platform for Recording Front- and Back-Office, Plus Mobile Phones



## Use your current Mobile Operator

NICE has developed integration between the NTR platform and local Mobile Network Operators in:

- The United States: AT&T
- The United Kingdom: Vodafone, O2
- Singapore: SingTel
- Hong Kong: PCCW

Local NICE partners can leverage our NTR development kit to develop integrations with other local Mobile Network Operators as needed.

## Use a 3rd Party Service Provider

NICE has developed integrations between the NTR platform and 3rd Party Service Providers of (global) Mobile Network Services, including:

- TruPhone
- Natterbox
- Teleware
- Tango Networks

In addition to these available integrations, NICE can develop other integrations upon request.

## Achieve Complete Compliance Assurance

In addition to significantly broadening the scope of employees, asset classes, communication channels and devices that need to be recorded and monitored, new regulations also mandate proof of compliance. It's no longer sufficient to simply record communications; financial institutions must be able to provide proof that all regulated users are being recorded and that those recordings are being properly retained. The NICE COMPASS compliance assurance solution works alongside the NICE Trade Recording (NTR) system to do exactly this. NICE COMPASS automates monitoring, testing and reporting to ensure that all aspects of your firm's communications and recording systems are fully operational and working as planned, at every stage of the transaction cycle.

**NICE** · COMPASS

## Financial Communication Compliance

Financial Communication Compliance is a significant business line within the NICE organization and focusses on delivering new technologies to facilitate regulatory requirements. NICE is a leader in the RegTech market, serving the largest financial services organizations globally. The solutions respond to an increasing demand in the financial services market, where regulatory compliance is on the rise. NICE is focused on the communication compliance market and has together with NICE Actimize an unmatched presence in the domain of Holistic Surveillance.

More info on [www.HolisticSurveillance.com](http://www.HolisticSurveillance.com)

## About NICE

NICE (NASDAQ: NICE) is the worldwide leader of software solutions that deliver strategic insights by capturing and analyzing mass quantities of structured and unstructured data in real time from multiple sources, including, phone calls, mobile apps, emails, chat, social media, and video. NICE solutions enable organizations to take the Next-Best-Action to improve customer experience and business results, ensure compliance, fight financial crime, and safeguard people and assets. NICE solutions are used by over 25,000 organizations in more than 150 countries, including over 80 of the Fortune 100 companies.

More info on [www.nice.com](http://www.nice.com)

